Childhood Cancer Survivor Study

Analysis Concept Proposal

<u>**Title:</u>** Medulloblastoma and Primitive Neuroectodermal Tumor (PNET) Outcomes Across Three Decades of Diagnosis</u>

Working Group and Investigators:

This proposed publication will be within the Chronic Disease Working Group.

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Background & Rationale:

Medulloblastoma and primitive neuro-ectodermal tumor (PNET) account for over 20% of malignant brain tumors in children¹. Current treatment strategies consist of maximal surgical resection, followed by the combination of craniospinal irradiation (CSI) and chemotherapy. This multimodal approach has markedly improved survival over the last four decades making medulloblastoma and PNET curable in up to 70-85% of patients² depending on multiple factors including age, location, histologic and biological characteristics of the tumor, extent of disease and resection.

Therapy for medulloblastoma and PNET has evolved over the years to the well-accepted multimodal regimens currently used. Historically, while surgery alone resulted only in recurrence and death, the first survivors of medulloblastoma were reported once CSI was introduced

therapeutically in this population with orthovoltage equipment³. As early as the 1970's the use of adjuvant radiation therapy (CSI with boost dose RT to the posterior fossa) after surgery resulted in improved survival in patients with medulloblastoma/PNET⁴. The typical radiation doses delivered were 35-36 Gy to the neuraxis (CSI) with an additional 18-20 Gy boost to the posterior fossa (or supratentorial region, in the case of PNET). This postoperative "standard-dose" CSI approach alone resulted in 5-year event free survival (EFS) rates of approximately 60%⁵. During the mid to late 1980's and the 1990's, chemotherapy was incorporated as adjuvant treatment for medulloblastoma/PNET and led to improved disease control. Early reports showed that addition of chemotherapeutic regimens containing CCNU and vincristine to radiotherapy in newly-diagnosed patients with medulloblastoma/PNET offered prolonged survival⁶.

Importantly, in the 1990's, patients with medulloblastoma/PNET were risk-stratified based on age, extent of surgical resection and metastatic status at diagnosis⁷. Patients 3 years and older, with a residual disease cross-sectional area of less than 1.5 cm² and no evidence of metastatic disease were considered to be average-risk, whereas patients who did not fulfill these criteria were classified as having high-risk disease. The intent was to reduce radiation-related sequelae by reducing the CSI dose from 36 Gy to 23.4 Gy in average-risk patients and to improve survival in high-risk patients by maximizing therapeutic intensity with 36-39.6 Gy CSI and adjuvant chemotherapy. Following encouraging results from pilot studies^{4b, 8}, 421 patients aged 3-21 years were enrolled in a phase III study of CSI + boost RT, followed by adjuvant chemotherapy for newly-diagnosed average-risk patients with medulloblastoma/PNET (A9961)². Patients were treated with reduced-dose CSI (23.4 Gy) and one of two adjuvant chemotherapy regimens: CCNU, cisplatin, and vincristine; or cyclophosphamide, cisplatin, and vincristine. Five-year EFS and overall survival (OS) for the 379 evaluable patients were 81% and 86% respectively, establishing excellent OS despite CSI dose reduction. Similarly, studies of patients with high-risk disease, who received 36-39.6 Gy CSI with a variety of adjuvant chemotherapy (including doseintense therapy with stem-cell rescue) reported improved 5-year overall survival for high-risk patients up to 70%^{2b}. A summary of the main treatment regimens and their outcomes during that time period is presented in the table below.

Table 1 Comparison of treatment regimens used in patients with average-risk (a) and high-risk(b) medulloblastoma/PNET

Study	Treatment	5-year EFS	OS
CCG 9892 ¹²	23.4 Gy CSI + weekly VCR;	79%	85% (3-year)
	CCNU+CDDP+VCR		
SJMB 96 ³	23.4 Gy CSI + high-dose Cyclo/CDDP/VCR	83%	85% (5-year)
A 9961 ²	23.4 Gy CSI +weekly VCR;	81%	86% (5-year)
	CCNU/Cyclo+CDDP+VCR		

a)

b)

Study	Treatment	5-year EFS	OS
CCG 921 ¹¹	36 Gy CSI + CCNU/VCR/Prednisone	63%	56% (7-year)
POG 903113	CDDP/VP 16 + 35.2-40 Gy CSI+ Cyclo/VCR	66%	73.1% (5-year)
	Or		
	35.2-40 Gy CSI + CDDP/VP 16+Cyclo/VCR	70%	76.1% (5-year)
SJMB 96 ³	36-39.6 Gy CSI + high-dose Cyclo/CDDP/VCR	70%	70% (5-year)

Abbreviations: Cyclo=cyclophosphamide; CDDP=cisplatin; VCR=vincristine; CCNU= lomustine; VP-16= etoposide.

Risk-adapted therapy has succeeded in improving cure rates for patients with high-risk disease and allowed for therapy reduction in patients with average-risk disease (who constitute around 70% of patients with medulloblastoma/PNET), while maintaining good five-year OS and EFS. However, it remains unclear how this therapeutic evolution has affected long-term outcomes (>5 years from diagnosis) including late mortality, late recurrence of the primary tumor, risk for subsequent neoplasms, other chronic health conditions and important sociodemographic outcomes such as marriage and employment. Previous studies using the CCSS original cohort (1970-1986) have shown that survivors of central nervous system tumors are at high risk for late mortality, subsequent neoplasms, chronic health conditions, social and behavioral difficulties as well as neurocognitive impairment⁹. Specifically, in medulloblastoma/PNET survivors, the risk of death was increased 17-fold compared to the US population with the most common causes being disease progression followed by subsequent neoplasms. In addition, several studies suggest that lower dose CSI regimens may lead to less neurocognitive deficits and intellectual preservation in medulloblastoma/PNET survivors¹⁰. The detrimental effect of higher doses of CSI on IQ and skills like reading and spelling has been found to be particularly true in younger patients (<7 years of age at diagnosis) when compared to patients who received lower doses of CSI¹¹.

The recent expansion of the CCSS cohort to include survivors across three decades (1970-1999) offers a unique opportunity to explore the impact of temporal changes in therapy on major lateeffect outcomes among almost 1000 five-year survivors of medulloblastoma/PNET in a period when pivotal changes in treatment were made. In particular, this provides an opportunity to evaluate whether dose reduction of CSI with use of adjuvant chemotherapy has resulted in reduced rates of subsequent neoplasms, chronic health conditions and late mortality. This study will also examine key indicators of psychosocial functioning such as educational attainment, employment, insurance and marital status, as detailed in the methods section.

Specific Aims & Hypotheses:

<u>Aim 1:</u>

Assess the all-cause and cause-specific late mortality in medulloblastoma/PNET survivors and compare by: 1) treatment era (1970-1979, 1980-1989, 1990-1999) and 2) based on temporal changes in therapy.

Hypotheses:

-Survivors from more recent eras will have reduced incidence of late mortality. -Survivors with reduced dose CSI will have a reduced incidence of late mortality.

<u>Aim 2:</u>

Determine the cumulative incidence of subsequent neoplasms (both malignant and non-malignant) among medulloblastoma/PNET survivors and compare by: 1) treatment era (1970-1979, 1980-1989, 1990-1999) and 2) based on temporal changes in therapy.

Hypotheses:

-There will be no difference in cumulative incidence of subsequent neoplasms across treatment eras.

-The cumulative incidence of subsequent neoplasms in the CNS will be reduced in survivors who received reduced dose CSI

-The incidence of subsequent malignant neoplasms in medulloblastoma/PNET survivors will be higher than the general population and higher in survivors who received full dose CSI.

<u>Aim 3:</u>

Quantify the occurrence and severity of chronic health conditions among medulloblastoma/PNET survivors and compare by: 1) treatment era (1970-1979, 1980-1989, 1990-1999) and 2) based on temporal changes in therapy

Hypotheses:

-The cumulative incidence and severity of chronic health conditions will be lower in survivors from more recent eras.

-The cumulative incidence and severity of chronic health conditions will be lower in survivors treated with reduced dose CSI.

<u>Aim 4:</u>

Assess psycho-social functioning among medulloblastoma/PNET survivors and compare by: 1) treatment era (1970-1979, 1980-1989, 1990-1999) and, 2) based on temporal changes in therapy.

Hypothesis:

-Survivors with reduced dose CSI will be less likely to receive special education services, be unemployed, and will be more likely to have insurance.

Methods:

A) Population of Interest:

All patients with medulloblastoma/PNET in the CCSS cohort.

For Aim 1 mortality analyses, all eligible subjects may be used (total n=1442; 1970-1979 (n=228), 1980-1989 (n=508), 1990-1999 (n=686)) and for subsequent Aims, the subset of those who completed a baseline survey will be utilized (total n=997; 1970-1979 (n=148), 1980-1989 (n=350), 1990-1999 (n=499)).

B) Outcomes Measures:

Mortality:

- -Vital status (alive/dead)
- -All cause mortality
- -Cause-specific mortality including:
- 1) Recurrence of primary childhood malignancy
- 2) External cause (e.g. accidents, injuries, suicide)
- 3) Nonrecurrence/nonexternal cause (attributable to chronic health conditions):
 - a. subsequent neoplasm cause
 - b. cardiac cause
 - c. pulmonary cause
 - d. other

Subsequent neoplasm:

- 1) Subsequent neoplasms (includes both malignant and benign)
- 2) Subsequent malignant neoplasms

Overall chronic health conditions:

Using CTCAE grading, chronic health conditions will be identified. Survivors will be classified as having:

- 1) No chronic condition
- 2) Any grade 1-5 condition
- 3) At least one grade 3-5 condition
- 4) Multiple grade 3-5 conditions

Specific chronic health conditions:

-Endocrine, cardiac, pulmonary, neurological, vision and hearing conditions will be evaluated

Late recurrence of primary malignancy:

-Disease recurrence after five years from diagnosis

Socioeconomic/Demographic:

-Special education resources placement (see questions in original and expansion Baseline)

- Employment, insurance

C) Explanatory variables:

Treatment era:

-The cohort diagnosed between 1970 and 1999 will be divided into 3 treatment eras of 10 years each (1970-1979, 1980-1989, and 1990-1999) -Patients will be assigned to a given treatment era based on their date of diagnosis.

Treatment type (three mutually exclusive categories):

-Surgery + CSI ≥30 Gy, no chemotherapy

-Surgery + CSI \geq 30 + chemotherapy

-Surgery + CSI <30 Gy + chemotherapy

Specific treatment exposures:

-Chemotherapy exposure: -Chemotherapy (yes/no) -CCNU (yes/no) -Cyclophosphamide (yes/no) -Cisplatin (yes/no) -Etoposide (yes/no) -Vincristine (yes/no) -Prednisone (yes/no) -Carboplatin (yes/no) -Thiotepa (yes/no) -Cytarabine (yes/no) -Hydroxyurea (yes/no) -Procarbazine (yes/no)

-Radiation therapy: -CSI dose: <30 Gy vs ≥30 Gy

Demographic characteristics: - Age at diagnosis, race, ethnicity, sex

Others: -Current age, length of follow-up

Statistical Approach:

<u>Aim 1:</u>

For Aim 1, all eligible subjects can be used for the mortality outcomes since NDI data is available for this larger cohort. However, since treatment data is not available for all non-participants and some participants in the original cohort and a small subset of the expansion cohort, we will plan to impute treatment data using similar methods to those employed by Armstrong et al. (in press). Descriptive data on demographic and treatment characteristics (surgery, radiation, and chemotherapy) will be summarized using frequencies, means (SD) and/or medians (Table 2). To accomplish the first aim of assessing the all-cause mortality and cause specific late mortality in medulloblastoma/PNET survivors the 15-year (from diagnosis) cumulative mortality incidence will be reported by treatment era and by treatment type accounting for competing risk of death from other causes (Tables 3 and 4, and cumulative incidence figures to be developed). Standardized mortality ratios (SMR) will be calculated and compared across treatment eras and treatment groups (Table 5). Rates from the National Death Index (NDI) will be reported and compared to the expected survival in age, gender and calendar year matched U.S. population. Hazard ratios/Relative risks comparing survival between different treatment eras and treatment groups will be derived using Cox/Poisson regression models using age as the time scale and adjusting for sex, ethnicity, and either age at diagnosis or years from diagnosis (Table 6).

<u>Aim 2:</u> Cumulative incidence of subsequent neoplasm (SN) will be calculated treating death prior to SN as a competing risk. Figures will be provided for cumulative incidence by treatment era and by treatment type. Standardized incidence ratios (SIR) comparing observed subsequent

malignant neoplasms (SMN) to age, gender and calendar year matched expected rates of same cancers in the SEER U.S, database will be reported by treatment era and treatment type (Tables 7 and 8). The SMN categories that will be examined may be modified depending on the number of events available once data is examined. Hazard ratios comparing the occurrence of SN by treatment era and treatment type will be derived using age as the time scale and adjusting for either age at diagnosis or years from diagnosis, with each being evaluated in separate models to assess which is most important (Table 9).

Aim 3: The incidence and severity of chronic health conditions will be determined by using the methodology described previously¹², a comparison will be performed between treatment eras and treatment groups. Cumulative incidence for three major categories (CTCAE grade 1-5, grade 3-5, and multiple grade 3-5 chronic conditions) will be evaluated (figures to be provided) and Cox proportional hazard models will be used to compare any CTCAE grade 1-5, grade 3-5, and multiple grade 3-5 chronic conditions across treatment eras and reported as hazard ratios with 95% confidence intervals (Table 10). We will evaluate whether age or time from diagnosis are the best measures of the time scale for this model. If sibling data is available for the expansion cohort, a model will be fit for which they will also serve as a comparison population. In that model, age will be used as the time scale as siblings do not have a date of diagnosis for reference. Death due to conditions other than those qualifying as a grade 5 fatal chronic condition will be considered as a competing risk event (i.e. death due to recurrence of primary cancer or external causes such as accidents, injuries or suicide). A similar analysis will be performed to correlate the risk of having any grade 1-5, grade 3-5 or multiple grade 3-5 chronic health condition with more specific chemotherapeutic treatment data when available (Table 10). In addition, cumulative incidence of specific grade 1-5 chronic health conditions (Table 11) will be evaluated across the treatment eras and treatment groups (endocrine, cardiac, pulmonary, neurological, vision, hearing). Hazard ratios with 95% confidence intervals for the comparison of each type of outcome across treatment subgroups will be evaluated.

<u>Aim 4:</u> Comparison of special education placement, insurance status, and employment status in medulloblastoma/PNET survivors will be carried out across treatment eras and treatment types (Table 12). Multivariate comparisons will be adjusted for age, gender and ethnicity.

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Table 2. Diagnosis and	Treatme	nt Chara	cteristics of	f the Study P	opulation		
	Total	1970-	1979	1980-1	989	1990-1	999
	N	N	%	N	%	N	%
All Survivors							
Sex							
Male							
Female							
Race/Ethnicity							
Non-Hispanic White							
Non-Hispanic Black							
Hispanic							
Other							
Age at Diagnosis							
0-3							
4-7							
8-14							
15-20							
Tumor location							
Posterior fossa							
Supratentorial							
Treatment Type							
Surgery + CSI (≥ 30 Gy), no chemotherapy							

Surgery + CSI (≥ 30				
Gy) + chemotherapy				
Surgery + CSI (<30 Gy)				
+ chemotherapy				
Craniospinal Dose				
2.20.0				
≥30 Gy				
<30 Gy				
Chemotherapy				
(Yes/No)				
Carboplatin				
Cisplatin				
Cyclophosphamide				
CCNU				
Vincristine				
Etoposide				
Prednisone				
Procarbazine				
Hydroxyurea				
Cytarabine				
Thiotepa				
Cyclophosphamide				
equivalent dose				
(mg/m ²)*				
0				
>0-<4000				
≥4000-<8000				
≥8000				

Cumulative cisplatin dose (mg/m ²)				
0				
>0-<200				
≥200-<400				
≥400				
Cumulative carboplatin dose (mg/m ²)				
0				
>0-<2500				
≥2500-<5000				
≥5000				

*calculated as described previously¹³

Table 3. 15-year cumulative mortality among medulloblastoma/PNET survivors by treatment era												
	1970-1979	1980-1989	1990-1999									
	N =	N =	N =									
	Cumulative incidence (95% CI)	Cumulative incidence (95% CI)	Cumulative incidence (95% CI)									
All Cause												
Recurrence/Progression												
External Cause (e.g.												
accidents, injuries, suicide)												
Non-recurrence/												
Non-external cause												
Subsequent neoplasm												
Cardiac cause												
Pulmonary Cause												
Other												

Table 4. 15-year cumulative mortality among medulloblastoma/PNET survivors by treatment type												
	Surgery + CSI (≥ 30 Gy), no chemotherapy	Surgery + CSI (≥ 30 Gy) + chemotherapy	Surgery + CSI (<30 Gy) + chemotherapy									
	Cumulative incidence (95% CI)	Cumulative incidence (95% CI)	Cumulative incidence (95% CI)									
All Cause												
Recurrence												
External Cause (e.g. accidents, injuries, suicide)												
Non-recurrence/												
Non-external cause												
Subsequent neoplasm												
Cardiac cause												
Pulmonary Cause												
Other												

Table 5. All-cause a	and cau	use-spec	ific star	ndarc	l mortal	ity ratio	os in f	five-yea	r surviv	ors of	childhoo	d medu	lloblas	toma/P	NET fol	lowed	for 15 ye	ars
	All cause			Health-related Cause			S	Subsequent Neoplasm			Cardiac Causes			onary C	auses	Ot rel	ther Heal ated Cau	th- ises
	N	SMR	95% Cl	N	SMR	95% Cl	N	SMR	95% Cl	N	SMR	95% Cl	N	SMR	95% Cl	N	SMR	95% Cl
All survivors																		
Treatment Era																		<u> </u>
1970-1979																		
1980-1989																		+
1990-1999																		+
Sex																		
Male																	+	
Female																	<u> </u>	
Treatment																		
Surgery + CSI (≥30 Gy), no chemotherapy																		
Surgery + CSI (≥30 Gy)+ chemotherapy																		

Surgery + CSI									
(<30 Gy), +									
chemotherapy									
Year since original									
diagnosis									
5-9									
10-14									
15+									

Table 6. Relative rates of mo of medulloblastoma/PNET *	ortality	based on tre	atment e	xposure amo	ng five-yea	ar survivors	
	A	ll Cause	Recu Prog	urrence/ gression	Non-recurrence, Non-external cause		
	RR	95%CI	RR	95%CI	RR	95%CI	
Treatment type							
Surgery +CSI (≥30 Gy), no chemotherapy							
Surgery + CSI(≥30 Gy) + chemotherapy							
Surgery + CSI (<30 Gy) + chemotherapy							

*adjusted for sex and age at diagnosis

	Table 7. Star by treatment	Table 7. Standardized incidence ratios of subsequent malignant neoplasms among medulloblastoma/PNET survivors by treatment era												
	Observed	Expected	Ov	erall	197	70-1979	198	80-1989	1990-1999					
			ז	N=	N=			N=	N=					
			N of SN	SIR (95% CI)	N of SN	SIR (95% CI)	N of SN	SIR (95% CI)	N of SN	SIR (95% CI)				
Overall*														
CNS Malignancy														
Astrocytoma														
Malignant meningioma														
Leukemia														
Lymphoma														
Soft Tissue Sarcoma														
Bone Cancer														
Breast Cancer														
Melanoma														
Thyroid Cancer														
SNs for which SIRS could not be calculated														

Non-melanoma skin		-	-	-	-
cancer					
Non-malignant		-	-	-	-
meningioma					
Other		-	-	-	-

* SMNs for which SIRs can be calculated

Table 8. Standardized incidence ratios of subsequent malignant neoplasms amongmedulloblastoma/PNET survivors by treatment type

	Surgery + no che	+ CSI (≥ 30 Gy), emotherapy	Surgery + + chem	CSI (≥ 30 Gy) otherapy	Surgery + CSI (<30 Gy) + chemotherapy		
	N=		1	N=	N=		
	N of SN	SIR (95% CI)	N of SN	SIR (95% CI)	N of SN	SIR (95% CI)	
Overall*							
CNS Malignancy							
Astrocytoma							
Malignant							
meningioma							
Leukemia							
Lymphoma							
Soft Tissue Sarcoma							
Bone Cancer							
Breast Cancer							
Melanoma							
Thyroid Cancer							
SNs for which SIRS could not be calculated							

Non-melanoma skin	-	-	-
cancer			
Non-malignant meningioma	-	-	-
Other	-	-	-

* SMNs for which SIRs can be calculated

	Table 9. Hazard ratios of having a subsequent neoplasm in medulloblastoma/PNET survivors across treatment exposures and treatment eras*†									
	Total	Astrocytoma	Malignant meningioma	Leukemia	Lymphoma	Soft tissue sarcoma	Bone tumor	Breast	Thyroid	Melanoma
	N	HR (95%CI)	HR (95%CI)	HR (95%CI)	HR (95%CI)	HR (95%CI)	HR (95%CI)	HR (95%CI)	HR (95%CI)	HR (95%CI)
Treatment type										
Surgery + ≥30 Gy, no chemotherapy		ref	ref	ref	ref	ref	ref	ref	ref	ref
Surgery + ≥30 Gy + chemotherapy										
Surgery + <30 Gy + chemotherapy										
Treatment era										
1970-1979		ref	ref	ref	ref	ref	ref	ref	ref	ref
1980-1989										
1990-1999										

*age as the time scale and adjusted age at diagnosis or years from diagnosis

† all SN types may not be possible to be examined due to numbers

ref=reference

 Table 10. Hazard ratios of having chronic health conditions in medulloblastoma /PNET survivors by

 treatment era, treatment group and chemotherapeutic treatment exposures*

	Any Grade 1-5	Any Grade 3-5	Multiple Grade 3-5
	HR (95% CI)	HR (95% CI)	HR (95% CI)
Treatment era			
1970-1979			
1980-1989			
1990-1999			
Treatment type			
Surgery + CSI (≥ 30 Gy), no chemotherapy			
Surgery + CSI (≥ 30 Gy) + chemotherapy			
Surgery + CSI (<30 Gy) + chemotherapy			
Chemotherapy specifics (yes/no)			
Carboplatin			
Cisplatin			
Cyclophosphamide			
CCNU			
Vincristine			
Etoposide			
Prednisone			
Procarbazine			
Hydroxyurea			
Cytarabine			
Thiotepa			

Cyclophosphamide			
equivalent dose			
(ing/iii) [*]			
0	reference	reference	reference
>0-<4000			
≥4000-<8000			
≥8000			
Cumulative cisplatin			
dose (mg/m²)			
0	reference	reference	reference
>0-<200			
≥200-<400			
≥400			
Cumulative carboplatin			
dose (mg/m²)			
0	reference	reference	reference
>0-<2500			
≥2500-<5000			
≥5000			

*age as the time scale and adjusted for age at diagnosis or years since diagnosis

	Grade 1-2	Grade 3-5
	HR (95% CI)	HR (95% CI)
Treatment era		
1970-1979		
1980-1989		
1990-1999		
Treatment type		
Surgery + CSI (≥ 30 Gy), no chemotherapy		
Surgery + CSI (≥ 30 Gy) + chemotherapy		
Surgery + CSI (<30 Gy) + chemotherapy		

* Either age or time since diagnosis as time scale and adjusted for age at diagnosis or the variable not used as time scale.

Note: this table will be reproduced for other specific health conditions including cardiac, pulmonary, neurological, vision and hearing conditions.

Table 12. Sociodemographic outcomes in medulloblastoma/PNET survivors across treatment groups and treatment eras*								
	Placed in special educationN =RR95%Cl		Unemployed N =		Uninsured			
						N =		
			RR	RR 95%CI		95%CI		
Treatment type								
Surgery + ≥30 Gy, no								
chemotherapy								
Surgery + ≥30 Gy +								
chemotherapy								
Surgery + <30 Gy +								
chemotherapy								
Treatment era								
1970-1979								
1980-1989								
1990-1999								

*adjusted for sex, ethnicity, age and age at diagnosis